

Prime Compliance Suite

In a league of its own

The Prime™ Compliance Suite, from Metavante Risk and Compliance Solutions, delivers integrated, state-of-the-art technology to help financial institutions confidently manage their compliance with the Bank Secrecy Act (BSA), USA PATRIOT Act, and OFAC. Best of all, this technology is delivered by a trusted partner with unique qualifications to meet your compliance needs.

What Makes Metavante Risk and Compliance Solutions Unique?

We Are a Strong and Growing Organization

Metavante Risk and Compliance Solutions was a pioneer in the anti-money laundering (AML) technology arena, and we've grown to become part of the \$1.5 billion Metavante organization. Today, our offerings consist of a comprehensive suite of integrated compliance components that address all of the regulatory mandates set forth by the USA PATRIOT Act. And we're continuing to grow our already-strong international presence in multiple countries.

We Partner with All Types of Organizations

Metavante Risk and Compliance Solutions understands the needs of a wide array of financial services organizations. We provide compliance solutions for the banking, credit union, insurance, and securities industries, and we have implemented our solutions in some of the largest banks and insurance companies around the world. Our clients range from community-based businesses to Fortune 500 corporations. No matter who you are or what size your business is, we have a solution built around your daily compliance workflow.

We're a Data Provider

Your sanctioned party filtering efforts are only as good as the data you're working with. That's why Metavante Risk and Compliance Solutions offers the Prime Compliance Databank, which provides you with the latest updates to sanctioned party lists, unique lists that Metavante has developed, and efficient mechanisms to update your lists.

Some of the lists that we provide to subscribers include:

- Financial Fraud Database (FRAUD)
- Politically Exposed Persons (PEP)
- Financially Exposed Persons (FEP)
- Global Sanctioned Parties (U.S. OFAC, Canadian OSFI, Bank of England, Australian DFAT, EU List, UN Consolidated, and more)

We Offer Professional Services

Our professional services offerings include installation, configuration, and training services, as well as a Regulatory Consulting Practice. This practice is staffed by business consultants who have extensive expertise regarding industry best practices for AML, KYC (Know Your Customer), CIP (Customer Identification Program), and global sanctions, and who can assist you in areas such as:

- Overall AML/BSA risk assessments
- AML/BSA program development/review
- Vulnerability analysis, client and product risk assessment methodology, and policy and procedure review



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Seasoned Professionals

We are an industry leader in AML and sanctioned party compliance solutions, with a dedicated focus on regulatory compliance. Our staff includes many former regulators, and our strong, committed management team has significant intellectual capital in the industry.

We Listen to Our Clients

Our clients appreciate the fact that we hold regular user group meetings throughout the year. And they especially appreciate that their candid feedback from these meetings is incorporated into ongoing product enhancements to the Prime Compliance Suite. The result is a longstanding reputation for quality products and services with our clients – and with the regulators.

Don't Take Our Word for It

Listen to what the analysts have to say about the Prime Compliance Suite – and why it stands out compared to competitive offerings:

Mercator

“[The Prime Compliance Suite] is a complete compliance system that includes five modules aimed at providing for an institution’s entire legal and regulatory compliance and reporting needs... Together, these modules form a complete end-to-end solution to rival Prime’s main competitors. Combined with the rest of its compliance products and extensive operating history in the compliance space, Prime is well positioned to be a long-term leader in the AML space.” (p.26, *Anti-Money Laundering Technology: The BSA, USA PATRIOT Act and Beyond*, Mercator Advisory Group, March 2005)

Celent

“Metavante’s Prime Compliance Suite is one of the few systems on the market that contains all the essential elements of an AML compliance solution, including watchlist filtering, transaction monitoring, and KYC due diligence. In addition, the system now also offers the functionality aimed at AML training, part of the four-part AML program required by US anti-money laundering laws, as well as a 314a/subpoena management tool.” (p.48, *Evaluating the Vendors of Anti-Money Laundering Solutions 2006*, Celent, August 2006)

Contact Us

For more information about the unique advantages of partnering with Metavante Risk and Compliance Solutions – or to learn more about the Prime Compliance Suite – please call 1-800-822-6758 or visit primeassociates.com.